

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 10-3-02

By Lawn Lstr

RECEIVED

OCT 18 2002

TECH CENTER 1600/2900

Receipt

Patent

Docket No. 1004U-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PATIL *et al.*

Serial No.: 09/938,878

Filed: August 24, 2001

For: METHODS FOR REDUCING  
COMPLEXITY OF NUCLEIC ACID  
SAMPLES

)  
)  
) Examiner: Not Assigned

)  
) Art Unit: 1643

)  
) Confirmation No: 2515

)  
)  
) REQUEST FOR CORRECTED  
FILING RECEIPT (PTO-103X)

\_\_\_\_\_  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Response to Request for Corrected Filing Receipt, dated January 29, 2002 (copy enclosed), please find enclosed a copy of the PTO-103X Filing Receipt received from the Patent and Trademark Office in the above-identified application. Issuance of a corrected filing receipt is respectfully requested.

The official Filing Receipt is missing priority information in Domestic Priority data as claimed by Applicant and as evidenced on the enclosed copies of the Application Data Sheet as filed.

Please add:

--THIS APPN IS A CIP OF 09/768,936 01/23/2001--

The correction is not due to Applicants error and no fee is due. However, the Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed

Patil *et al.*

Serial No.: 09/938,878

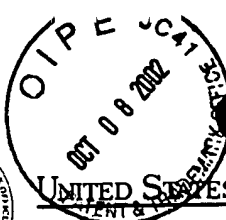
Page 2

or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 502043.

Respectfully Submitted,

By: Lauren L. Stevens  
Lauren L. Stevens, Ph.D.  
Reg. No. 36,691

Perlegen Sciences, Inc.  
2021 Stierlin Court  
Mountain View, CA 94043



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/938,878	08/24/2001	Nila Patil	HO-P02199US2

26271  
FULBRIGHT & JAWORSKI, LLP  
1301 MCKINNEY  
SUITE 5100  
HOUSTON, TX 77010-3095

CONFIRMATION NO. 2515



FEB 07 2002

Docket: P02199US2  
Client: Perlegen Sciences  
Attorney: MBS

Date Mailed: 01/29/2002

RECEIVED

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

OCT 18 2002

## Claims, Fees, Inventors and Continuity

TECH CENTER 1600/2900

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

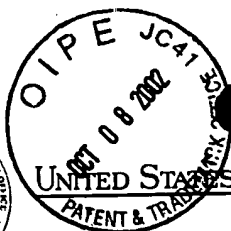
---

*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/938,878	08/24/2001	1643	0.00	HO- P02199US2	3	40	3

26271  
FULBRIGHT & JAWORSKI, LLP  
1301 MCKINNEY  
SUITE 5100  
HOUSTON, TX 77010-3095

Receiver: *WJA*  
FEB 07 2002  
Docket: *P02199US2*  
Client: *Perlegen Science*  
Attorney: *MLS*

CONFIRMATION NO. 2515

## UPDATED FILING RECEIPT



\*OC000000007386101\*

Date Mailed: 01/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Nila Patil, Woodside, CA;  
David Cox, Belmont, CA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/228,251 08/26/2000

THIS APPLN IS A CIP OF 09/768,936 01/23/2001.

## Foreign Applications

If Required, Foreign Filing License Granted 10/01/2001

Projected Publication Date: 05/09/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Methods for reducing complexity of nucleic acid samples

## Preliminary Class

435

RECEIVED  
OCT 18 2002  
TECH CENTER 1600/2900

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).